

**(19) World Intellectual Property Organization
International Bureau**



(43) International Publication Date
1 March 2001 (01.03.2001)

(10) International Publication Number
WO 01/13981 A1

PCT

- (51) **International Patent Classification⁷:** **A61M 16/16**

(21) **International Application Number:** **PCT/NZ00/00156**

(22) **International Filing Date:** **9 August 2000 (09.08.2000)**

(25) **Filing Language:** **English**

(26) **Publication Language:** **English**

(30) **Priority Data:**
337382 23 August 1999 (23.08.1999) NZ

(71) **Applicant (for all designated States except US):** **FISHER & PAYKEL LIMITED [NZ/NZ]; 78 Springs Road, East Tamaki, Auckland (NZ).**

(72) **Inventors; and**

(75) **Inventors/Applicants (for US only):** **THUDOR, Mohammad [NZ/NZ]; 15B Ngatiawa Street, One Tree Hill, Auckland (NZ). WIXEY, David [GB/NZ]; 51B Dignan Street, Point Chevalier, Auckland (NZ). HUNT, Peter, John [AU/NZ]; 57a Baddeley Avenue, Kohimarama, Auckland (NZ).**

(74) **Agents:** **PARK, A., J. et al.; Intellectual Property Lawyers and Patent Attorneys, of Huddart Parker Building, 1 Post Office Square, Wellington (NZ).**

(81) **Designated States (national):** **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.**

(84) **Designated States (regional):** **ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).**

Published:
— *With international search report.*

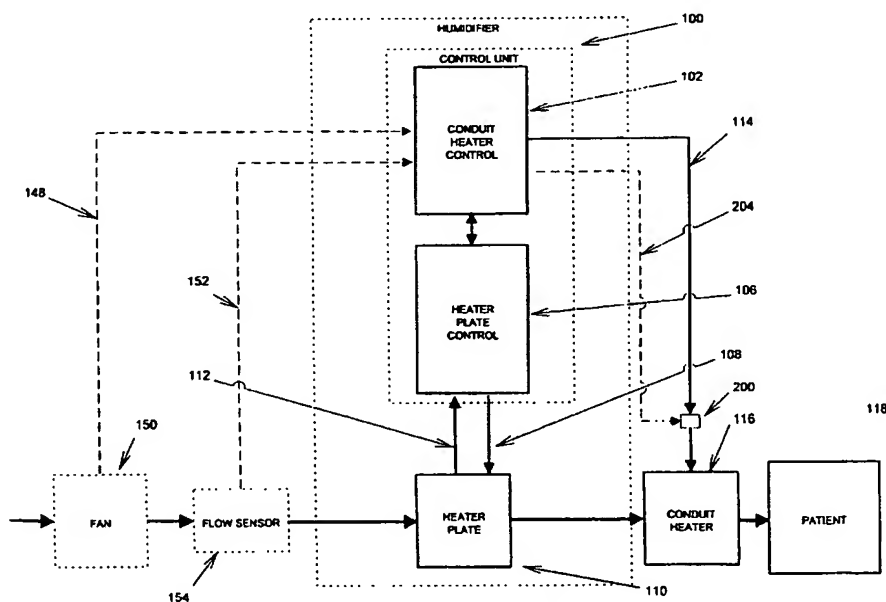
For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: HUMIDITY CONTROLLER



(57) Abstract: A breathing assistance apparatus adapted to deliver humidified gases at a desired level of humidity to a patient, including a humidifier and a heated conduit (116). The humidifier includes a controller (100), which determines a parameter of gas flow rate and then the required power input (108) to the humidifier to deliver the gases to the patient at the required patient humidity. In a second embodiment, a conduit heater (116) is provided and the controller determines whether it has been correctly connected to the control. External sensors are dispensed with and thus the apparatus is simple and less bulky.

WO 01/13981 A1

"HUMIDITY CONTROLLER"

TECHNICAL FIELD

5 This invention relates to breathing assistance apparatus, particularly but not solely, for supplying optimal humidity temperature of gases to a patient to assist the patient's breathing.

BACKGROUND ART

10 A number of methods are known in the art for assisting a patient's breathing. Continuous Positive Airway pressure or CPAP involves the administration of air under pressure to a patient, usually by a nasal mask. It is used in the treatment of snoring and Obstructive Sleep Apnea (OSA), a condition characterised by repetitive collapse of the upper airway during inspiration. Positive pressure splints the upper airway open, preventing its collapse. Treatment of OSA with nasal CPAP has proven to be both
15 effective and safe, but CPAP is difficult to use and the majority of patients experience significant side effects, particularly in the early stages of treatment.

Upper airway symptoms adversely affect treatment with CPAP. Mucosal drying is uncomfortable and may awaken patients during the night. Rebound nasal congestion commonly occurs during the following day, simulating a viral infection. If untreated,
20 upper airway symptoms adversely affect rates of CPAP use.

Increases in nasal resistance may affect the level of CPAP treatment delivered to the pharynx, and reduce the effectiveness of treatment. An individual pressure is determined for each patient using CPAP and this pressure is set at the mask. Changes in nasal resistance affect pressure delivered to the pharynx and if the changes are of
25 sufficient magnitude there may be recurrence of snoring or airway collapse.

Such symptoms can also occur in a hospital environment where a patient is on a respirator. Typically in such situations the patient is intubated. Therefore the throat tissue may become irritated and inflamed causing both distress to the patient and possible further respiratory problems.

30 A number of methods may be employed to treat such upper airway symptoms, including pharmacologic agents to reduce nasal disease, or heating the bedroom. One

most commonly employed method is humidification of the inspired air using an in line humidifier. Two types of humidifier are currently used. Cold passover humidifiers rely on humidifying the air through exposure to a large surface area of water. While they are cheap, the humidity output is low at high flows, typically 2 to 4 mg\L absolute humidity at flows above 25L/min. The output is insufficient to prevent mucosal drying. Heated water bath humidifiers are more efficient, and produce high levels of humidity even at high flow rates. They are effective at preventing upper airway mucosal drying, prevent increases in nasal resistance, and are the most reliable means of treating upper airway symptoms.

Any of these active systems will have, to some degree or other, condensation (or rain out) in the tubing connecting the humidifier to the patient. The degree of condensation is strongly dependent on the ambient temperature, being much greater for greater differences between the ambient temperature and the gas temperature. The formation of large quantities of water in the breathing tubing causes considerable inconvenience to the patient, may accelerate cooling of the gas, may eventually occlude the tubing, or may be expelled into the patient. Also, the patient may experience discomfort, when breathing gases are delivered at temperatures widely divergent from that of the ambient temperature. Excessive condensation also results in inefficient usage of the water in the humidifying chamber.

In a hospital environment, where the ambient temperature of the atmosphere within the hospital environment is controlled by air conditioning for example, the required temperature for the humidified gases supplied by the apparatus may be controlled within set temperature parameters that are sufficiently close to the ambient temperature to prevent condensation within the conduit. However it is still necessary to have good control over the temperature and humidity of gases as they are actually supplied to the patient.

In the home care environment in which a user requires to use humidifying apparatus at home, the range of ambient and gas temperatures may well exceed that of the hospital environment. In the home care environment, the user will usually wear a face mask which is connected to end of the conduit and such a humidifier may be used in the home environment for the treatment of breathing and sleep apnea disorders and/or

in conjunction with ventilators or CPAP devices. In addition, non active humidifiers are commonly employed utilising the known pass over humidification technique.

5 In US Pat. No. 5640951 issued to Fisher and Paykel a heated conduit for a humidified breathing assistance apparatus is disclosed which includes a temperature probe at the end of a heated conduit. By heating the conduit the problems relating to condensation in the conduit may be overcome. However in order to implement closed loop control over the temperature of the supplied gases (and therefore the power input to the conduit heater element), it is necessary to measure the temperature as close to the point at which it is supplied as possible. The temperature probe and its associated
10 wiring included for this purpose make the attachment to the face mask or intubated patient bulky and therefore more uncomfortable for the patient. Therefore it would be advantageous if a heated conduit for a humidified breathing assistance apparatus could be implemented without the need for a temperature probe at the end of the conduit. It would also be advantageous to have some indication, when the conduit heater is
15 energised, that it is operating correctly.

DISCLOSURE OF THE INVENTION

It is an object of the present invention to provide a breathing assistance apparatus which goes some way to overcoming the abovementioned disadvantages or which at
20 least provides the public or industry with a useful choice.

Accordingly in a first aspect the invention consists in a breathing assistance apparatus adapted to deliver humidified gases at a desired level of humidity or at a desired temperature to a patient comprising:

25 humidification means having an electrical input power and capable of humidifying said gases up to a level of humidity prior to delivery to said patient, said level of humidity depending on said input power to said humidification means,

transportation pathway means for conveying said humidified gases from said humidification means to said patient, and

control means including stored instructions to:

30 (a) determine a parameter relating to the flow rate of said gases through said apparatus;

(b) determine based on at least said parameter the required electrical power input to said humidification means to deliver said gases to said patient at a level of humidity or at a temperature substantially similar to said desired level of humidity or said desired temperature;

5 (c) supply as said input power to said humidification means a level of power substantially similar to said determined power input to said humidification means.

In a further aspect the invention consists in a breathing assistance apparatus for humidification of gases at a desired
10 level of humidity or at a desired temperature comprising:

humidification means having an electrical input power capable of humidifying said gases up to a level of humidity, said level of humidity depending on said input power to said humidification means, and

control means including stored instructions to:

15 (a) determine a parameter relating to the flow rate of said gases through said apparatus;

(b) determine based on at least said parameter the required electrical power input to said humidification means to humidify said gases to a level of humidity or
or at a temperature substantially similar to said desired level of humidity or said
20 desired temperature;

(c) supply as said input power to said humidification means a level of power substantially similar to said determined power input to said humidification means.

In a still further aspect the invention consists in a breathing assistance apparatus
25 adapted to deliver humidified gases at a desired level of humidity or at a desired temperature to a patient comprising:

humidification means having an electrical input power capable of humidifying said
said

gases up to a level of humidity prior to delivery to said patient, said level of humidity
30 depending on said input power to said humidification means,

transportation pathway means for conveying said humidified gases from said

humidification means to said patient, and

pathway heating means having an electrical input power, and being associated with said transportation pathway means wherein the gases flowing through said transportation pathway means are heated either directly or indirectly by said pathway heating means whereby the level of heating depending on said input power to said pathway heating means;

control means which supply said input power to said humidification means and said pathway heating means, and providing a control output indicative of said pathway heating means being correctly connected to said control means and operating in according within predefined limits; and

connection means to electrically connect said control means and said pathway heating means and including an indicator in use connected to said control output, wherein when said said pathway heating means being correctly connected to said control means and operating in according within predefined limits said control means energising said indicator.

To those skilled in the art to which the invention relates, many changes in construction and widely differing embodiments and applications of the invention will suggest themselves without departing from the scope of the invention as defined in the appended claims. The disclosures and the descriptions herein are purely illustrative and are not intended to be in any sense limiting.

BRIEF DESCRIPTION OF THE DRAWINGS

One preferred form of the present invention will now be described with reference to the accompanying drawings in which;

Figure 1 is a illustration of a respiratory humidifier system,

Figure 2 is a illustration of the humidifier base of the respiratory humidifier system of Figure 1,

Figure 3 is a block diagram of the control system which controls the humidifier in the preferred embodiment of the present invention,

Figure 4 is a flow diagram of the algorithm used to control the heater wire within the respiratory conduit,

Figure 5 is an example of how the heater plate temperature varies over time, when the pressure is controlled constant,

Figure 6 is a graph of heater plate power against flow rate, and

Figure 7 is a graph of conduit heater element power and flow rate.

5

DETAILED DESCRIPTION OF THE INVENTION

Whether used in a hospital environment or in a home care environment, the present invention will generally have associated two main pieces of apparatus. Firstly an active humidifier which controls the temperature of a heater plate heating a body of water to achieve a desired temperature and humidity of the gases being humidified. Secondly a transport conduit from the humidifier to the patient is also required, which is preferably heated to reduce condensation, or "rain out".

Referring to Figure 1 a humidifying apparatus as might be used in a hospital generally referenced 1 is shown. The apparatus comprises a body 2 containing heating means comprising a heating plate 20 having an electric heating element therein or in thermal contact therewith and control means for example electronic circuitry which may include a microprocessor for controlling the supply of energy to the heating element. The body 2 is removably engageable with a humidifying chamber 3 which contains water for humidifying gases. Referring to Figures 2 which show the humidifier apparatus in more detail, the humidifying chamber 3 has edges which engage with collar 24 on the humidifier apparatus. The gases to be humidified may be a mixture of air, oxygen and anaesthetic for example which are supplied to the chamber through a gases inlet 4. This might be connected to a ventilator, or in the case of CPAP therapy a CPAP blower. A gases outlet 5 is also provided and the gases outlet 5 is connected to the conduit 6 (Figure 1) which conveys humidified gases to a remote destination such as an intubated patient at the end 7 of the conduit. Alternatively, the end 7 of the conduit may have a gas mask attached thereto, which mask is used to cover a nose and/or mouth of a user so as to supply humidified gases to the user for breathing, as in the delivery of CPAP therapy. The humidifier heater plate 20 has a temperature transducer 8 which is in electrical connection with the electronic control circuitry in body 2 of the apparatus so that the control means monitors the temperature of the heating plate.

A heating element 10 is provided within the conduit 6 to help prevent condensation of the humidified gases within the conduit. Such condensation is due to the temperature of the walls of the conduit being close to the ambient temperature, (being the temperature of the surrounding atmosphere) which is usually lower than the temperature of the humidified gases within the conduit. The heater element is effectively replaces the energy lost from the gases through conduction and convection during transit through the conduit. Thus the conduit heater element ensures the gases delivered are at an optimal temperature and humidity.

The present invention provides a means of controlling at least the heater plate and preferably also the conduit heater element without the need for any sensors, either in the humidifier chamber or positioned in the conduit. This is achieved by estimating the rate of flow of gases through the humidifier using parameters already available to the controller. For a given humidifier an appropriate level of power can then be determined to apply to the heater plate to achieve the desired temperature of gases delivered to the patient. Additionally this may be used to provide a more appropriate level of energisation at this conduit heater element. This not only saves the cost of the extra sensors but also allows the apparatus connected to the end of the conduit to be simpler and lighter.

In the preferred embodiment of the present invention the controller 100, shown in Figure 3, uses a range of inputs to control both the power 108 supplied to the heater plate 110 as well as the power 114 supplied to the conduit heating element 116 (if present). In certain applications it may also be used to provide control instructions to auxiliary apparatus such as a blower fan. Using an internal algorithm 106 the controller 100 estimates the power 108 to supply to the humidifier heater plate 110 to achieve a given humidity and or temperature of gases at the top of the humidifier chamber alternatively (or estimates the temperature to achieve a given power). It then uses a second algorithm 102 to estimate the required power 114 to supply to the conduit heater element 116 and the humidifier heater plate 110 to achieve optimal temperature and/or humidity of the gases delivered to the patient 118.

Referring to Figure 4, when the humidifier starts up the controller executes a supervisory algorithm, which controls the heater plate and if present the conduit heater

element. Initially 128 the heater plate is controlled to a temperature of 40°C and the conduit heater element may be energised with a duty cycle of for example 50%. The heater plate temperature (or alternatively the power supplied to the heater plate) is then monitored 130 until it settles to a stabilised level. Effectively a window 132 is superimposed over the heater plate temperature profile 134 of which an example is shown in Figure 5. When the profile 134 (over the entire period of the window 132) fits within the bounds of the window 132, it is effectively considered to have stabilised. Once this has occurred the controller enters a calculation stage.

Firstly, it calculates the flow rate of the gases 136 using any one of a number of methods which will be described later.

Secondly knowing the rate of flow of the gases the algorithm then calculates the required heater plate power 138 (alternatively heater plate temperature) to achieve a desired temperature/humidity of gases (alternatively heater plate power). A relationship has been empirically determined using a humidifier and a heated conduit such as that as described in US5640951, the contents of which are incorporated herein by reference. The actual relationship for any other arrangement would either have to be empirically determined by experimentation or theoretically calculated. For a desired temperature of gases exiting the humidifier of for example 37°C the relationship between the power supplied to the heater plate (P_{HP}), the rate of flow of gases (F_{gas}) and the ambient temperature (T_{amb}) is graphed in Figure 6. From this an approximate general algebraic equation has been extrapolated which the controller can use to determine an approximate level of power to apply to the heater plate:

$$P_{HP} = (-0.1239 \times T_{amb} + 5.383) \times F_{gas} + (-0.3112 \times T_{amb} + 10.738)$$

Thirdly the algorithm calculates the required power input to the conduit heater wire 140 to deliver a desired temperature of the gases to the patient. With gases flowing at a known rate of flow it is possible to calculate the resultant temperature of the gases once they have flowed through a conduit of known characteristics surrounded by the atmosphere at a known or assumed ambient temperature. Thermal characteristics of the conduit will either be known or can be calculated by experimentation. This relationship is based off empirical data using a humidifier and a heated conduit such as that as described in US5640951. The actual relationship for any other arrangement would

either have to be empirically determined by experimentation or theoretically calculated. With a conduit entry gas temperature of 37°C and a temperature of gases delivered to the patient of 40°C, the relationship between the flow rate of the gases (F_{gas}), the power input to the conduit heater element (P_c), the ambient temperature (T_{amb}) is graphed in Figure 7. This is extrapolated to a general algebraic expression:

$$P_c = (-0.0005 * T_{amb} + 0.0169) F_{gas}^2 - [10^{-5} * T_{amb}^3 - 0.0042 * T_{amb}^2 + 0.2189 * T_{amb} - 3.0075] F_{gas} - 1.0169 * T_{amb} + 38.956$$

Practically this relationship can be simplified whereby P_c is dependent only on T_{amb} . This is an acceptable approximation for the conduit heater element, as it is not as crucial as the heater plate.

Once the heater plate and conduit heater element have been appropriately energised, the controller continues to monitor the system for any changes in the variables. The main reason for this is to avoid thermal overshoot ie where the flow drops suddenly, the temperature of gases can become dangerously high.

In order to monitor effectively, two methods are used. Firstly the flow rate is monitored and secondly the change in flow rate (with respect to time) is also monitored. The first is to allow the system to respond to any changes in the system. The second is a fast response system in order to avoid thermal overshoot. Effectively where either P_{HP} or T_{HP} is controlled constant, monitoring the other variable gives an indication of any change in flow, or any other variable which requires a recalculation.

In order to monitor the flow a variable x (defined as P_{HP}/T_{HP}), which is closely related to the flow rate, is constantly calculated and monitored. If it goes up there is a 30 minute delay before the controller initiates a recalculation, to avoid spurious readings and unnecessary calculations. If it goes down there is a 30 second delay before the controller recalculates, to avoid any possibility of the delivered gases being, even transiently, too hot.

Where large step changes occur the controller needs to react quickly. In such cases it will reset to initial conditions to wait until the system stabilises again, as any calculations in the interim would be pointless. To achieve this dx/dt is calculated and monitored. While a negative value is more dangerous, any deviation over a certain value will reset the controller.

In an alternative embodiment of the present invention the expected heater plate

temperature is calculated using

$$T_{HP} = -7.3319 \cdot \ln(F_{gas}) + 63.655$$

- 5 and if the actual heater plate temperature deviates by more than 5°C then the program recalculates the required powers.

Thus in summary controller carries out the following steps:

- 1) Estimates the rate of flow of gases keeping all variables constant 136.
- 2) Estimate the required heater plate power/temperature to achieve a specified
10 temperature/humidity of gases in the humidification chamber 138.
- 3) Calculate the power input to the heater wire to achieve a desired output
temperature 140.

It will be appreciated that a greater level of power will be supplied to the conduit heater element if:

- 15 i) the rate of flow of the gases reduces,
- ii) the ambient temperature decreases,
- iii) the differential between ambient and gases temperature increases.

It will also be appreciated that the heater plate temperature could be controlled to
20 a set value (using closed loop control) as opposed to power. In this case the power supplied would be monitored as a measure of system stability. Furthermore where relationships are expressed algebraically they could equally be stored in look-up tables.

First preferred embodiment of flow estimation

Generally when used in a hospital setting a humidifier such as that described in the
25 present invention will be used in conjunction with a respirator to supply humidified gases to an incubated patient, or possibly using a respiratory mask. As such the humidifier will operate effectively independently of the respirator and therefore must make all of its control decisions based on only the sensors contained therein. In the preferred embodiment of the present invention the flow rate of the gases passing through the humidification chamber
30 can first be estimated by comparing the power input required 108 for the humidifier heater plate to the measured temperature 112 of the heater plate. In effect the higher the rate of

flow of gases the larger the amount of power required by the heater plate in order to achieve a given heater plate temperature. Thus for a given system the relationship between power to heater plate and flow rate for a given heater plate temperature can either be determined empirically or theoretically calculated. Again using a humidifier and a heated conduit such as that as described in US5640951 the following empirically determined relationship applies:

$$F_{\text{gas}} = \frac{-(0.831 - 0.0049 * T_{\text{amb}}) + \sqrt{\text{abs}\left[(0.831 - 0.0049 * T_{\text{amb}})^2 - (4 * (0.00004 * T_{\text{amb}} - 0.0057) * ((14.348 - 0.25 * T_{\text{amb}}) - P_{\text{HP}}))\right]}}{2 * (0.0004 * T_{\text{amb}} - 0.0057)}$$

where P_{HP} is the power applied to the heater plate to achieve a given heater plate temperature in steady state of 50°C, T_{amb} is the ambient temperature and F_{gas} is the gas flow rate.

It will be appreciated this method is more appropriate in the hospital care environment where the ambient temperature can be assured with a high degree of confidence.

Second Preferred Embodiment of Flow Estimation

In the homecare environment the present invention will often be employed in conjunction with a continuous positive airway pressure (CPAP) device or such other breathing apparatus which will include a fan such as that described in US Patent No. 6050260, the contents of which are incorporated herein by reference. It will be appreciated that in such applications it may be possible to connect the controllers of the various devices together in an arrangement such that data may be readily exchanged. In such cases the rate of flow of the gases may be estimated directly from information available either from the fan or, where provided, a flow sensor.

In this embodiment of the present invention the flow is estimated based on the loading of the fan. Generally the fan will be controlled to run at a specified speed and therefore deliver a constant pressure output. The flow rate of the gases will depend on the restrictions in the flow path. In turn in order to maintain the specified speed a certain power input will be required for the fan. Therefore an algebraic relationship between the

actual gas flow rate and the power input to the fan can be developed for a fan of known characteristics. This relationship may either be determined empirically by experimentation or theoretically calculated using specified motor characteristics.

5 A number of methods are known in the art for determining the loading on a motor from the supply it draws. The simplest such method would be to firstly meter the current drawn 148 from the fan 150, as indicated in Figure 3. The current 148 is the input to the conduit heater element controller 102 where either an algebraic relationship or a look up table is used to determine the flow rate of the gases.

10 For example in US5740795, the contents of which are hereby incorporated herein by reference, a method is disclosed using both motor voltage and current to estimate the flow rate. While this represents one method, as mentioned above, it will be appreciated that other methods, such as based on just current, will be equally applicable.

Third Preferred Embodiment of Flow Estimation

15 As mentioned in the second embodiment that in certain cases a flow sensor may already be provided in the gas flow path. This being the case, the gas flow rate 152 can be extracted directly from the flow sensor 154 and used as an input to the humidifier controller 100, as indicated in Figure 3. This is then used directly in the conduit heater element controller 102 to determine the power to apply to the heater plate 110 and conduit heater element 116 according to the algorithm shown in Figure 4 and described earlier.

20 Heater Wire Adaptor

In order to connect the conduit heater element to the power supply in the humidifier, an adaptor cable is required. In the preferred embodiment of the present invention, the adaptor 200 includes an indicator 202 to indicate whether the conduit heater element is operating correctly, when the adaptor is plugged in, as shown in Figure 1.

25 The humidifier controller continually detects for the conduit heater element and determines whether it is operating correctly. It does this by energising the conduit heater element intermittently, and if the expected current results it energises 204 the indicator (eg an LED).

30 The present invention as described in the foregoing provides a novel method and apparatus for controlling the heater plate temperature in a humidifier for supplying humidified gases to a patient under respiratory therapy. This has the advantage of

removing external sensors making the system simpler, cheaper and lighter. Similarly it may also allow for effective control over energisation of the conduit heater element, ensuring the system as a whole operates correctly as well as being as efficient as possible.

CLAIMS:

1. A breathing assistance apparatus adapted to deliver humidified gases at a desired level of humidity or at a desired temperature to a patient comprising:

humidification means having an electrical input power and capable of humidifying
5 said gases up to a level of humidity prior to delivery to said patient, said level of humidity depending on said input power to said humidification means,

transportation pathway means for conveying said humidified gases from said humidification means to said patient, and

control means including stored instructions to:

- 10 (a) determine a parameter relating to the flow rate of said gases through said apparatus;
- (b) determine based on at least said parameter the required electrical power input to said humidification means to deliver said gases to said patient at a level of humidity or at a temperature substantially similar to said desired level of humidity
15 or said desired temperature;
- (c) supply as said input power to said humidification means a level of power substantially similar to said determined power input to said humidification means.

2. A breathing assistance apparatus as claimed in claim 1 further comprising:

20 pathway heating means having an electrical input power, and being associated with said transportation pathway means wherein the gases flowing through said transportation pathway means are heated either directly or indirectly by said pathway heating means whereby the level of heating depending on said input power to said pathway heating means;

25 an ambient temperature sensor providing an indication of the exterior temperature; and said instruction (b) further comprises determining based on at least said indication of the exterior temperature the required power input to said pathway heating means to deliver said gases to said patient at a level of humidity or at a temperature substantially similar to said desired level of humidity or said desired temperature;

30 and said instruction (c) further comprises supplying as said input power to said pathway heating means a level of power substantially similar to said determined power

input to said pathway heating means.

3. A breathing assistance apparatus as claimed in claim 2 wherein said humidification means comprises a humidification chamber adapted to receive a volume of water and water heating means to heat said water to produce water vapour within said chamber in use, said gases passing through said water vapour in said chamber thereby being humidified, said instruction (a) further comprising:

i) energising said water heating means to heat said water towards a first condition,

ii) continuously monitoring said parameter or a variable indicative of a property of said water heating means, until said variable or said parameter indicates that said water has substantially reached said first condition,

iii) determining said parameter based on at least said variable and said indication of the external temperature.

4. A breathing assistance apparatus as claimed in claim 3 wherein the determination of said power to said humidification means in said instruction (b) is also based on said indication of the external temperature.

5. A breathing assistance apparatus as claimed in claim 3 wherein said control means storing a further instruction :

(d) continuously monitor said parameter or said variable, and when a change in said parameter or said variable is greater than a first threshold said control means reverts to said instruction (b) and when a change in said parameter or said variable is greater than a second threshold said control means reverts to instruction (a).

6. A breathing assistance apparatus as claimed in claim 5 wherein if said change in said parameter or said variable indicates a decrease in flow a relatively short delay is caused before said control means reverts to said instruction (b) and if said change indicates an increase in flow a relatively long delay is caused before said control means reverts to said instruction (b).

7. A breathing assistance apparatus as claimed in claim 5 wherein said second threshold is based on the rate of change of said parameter or said variable with respect to time, wherein when said rate of change goes over said second threshold said control means reverts to said instruction (a).

5

8. A breathing assistance apparatus as claimed in claims 1 or 2 further comprising a gases supply means adapted to supply gases to said humidification means at a required pressure and resulting flow rate.

10

9. A breathing assistance apparatus as claimed in claim 8 wherein said gases supply means provides an output signal representative the level of electrical output to said gases supply means, said signal being supplied to said control means from which the flow rate of said humidified gases is determined.

15

10. A breathing assistance apparatus as claimed in claim 8 or claim 9 wherein said gases supply means comprise a fan driven by a variable speed electric motor.

20

11. A breathing assistance apparatus as claimed in claim 10 wherein said estimate of the flow rate of said humidified gases is based on the current drawn by said variable speed motor.

25

12. A breathing assistance apparatus as claimed in claims 1 or 2 further comprising a gases flow rate sensor from which said estimate of the flow rate of said humidified gases is determined directly.

30

13. A breathing assistance apparatus as claimed in any one of claims 3 to 7 further comprising:

chamber sensing means providing an indication of the temperature of said water heating means and providing an indication of the electrical power drawn by said water heating means,

wherein said variable is indicative of said indicator of the temperature of said water

heating means or said indication of the power drawn by said water heating means.

14. A breathing assistance apparatus as claimed in claim 13 wherein said parameter is defined as the value of said power drawn by said water heating means divided by said temperature of said water heating means.

15. A breathing assistance apparatus for humidification of gases at a desired level of humidity or at a desired temperature comprising:

humidification means having an electrical input power capable of humidifying said gases up to a level of humidity, said level of humidity depending on said input power to said humidification means, and

control means including stored instructions to:

(a) determine a parameter relating to the flow rate of said gases through said apparatus;

(b) determine based on at least said parameter the required electrical power input to said humidification means to humidify said gases to a level of humidity or at a temperature substantially similar to said desired level of humidity or said desired temperature;

(c) supply as said input power to said humidification means a level of power substantially similar to said determined power input to said humidification means.

16. A breathing assistance apparatus adapted to deliver humidified gases at a desired level of humidity or at a desired temperature to a patient comprising:

humidification means having an electrical input power capable of humidifying said gases up to a level of humidity prior to delivery to said patient, said level of humidity depending on said input power to said humidification means,

transportation pathway means for conveying said humidified gases from said humidification means to said patient, and

pathway heating means having an electrical input power, and being associated with said transportation pathway means wherein the gases flowing through said transportation pathway means are heated either directly or indirectly by said pathway heating means

whereby the level of heating depending on said input power to said pathway heating means;

5 control means which supply said input power to said humidification means and said pathway heating means, and providing a control output indicative of said pathway heating means being correctly connected to said control means and operating in according within predefined limits; and

10 connection means to electrically connect said control means and said pathway heating means and including an indicator in use connected to said control output, wherein when said said pathway heating means being correctly connected to said control means and operating in according within predefined limits said control means energising said indicator.

17. A breathing assistance apparatus substantially as herein described with reference to and as illustrated by the accompanying drawings.

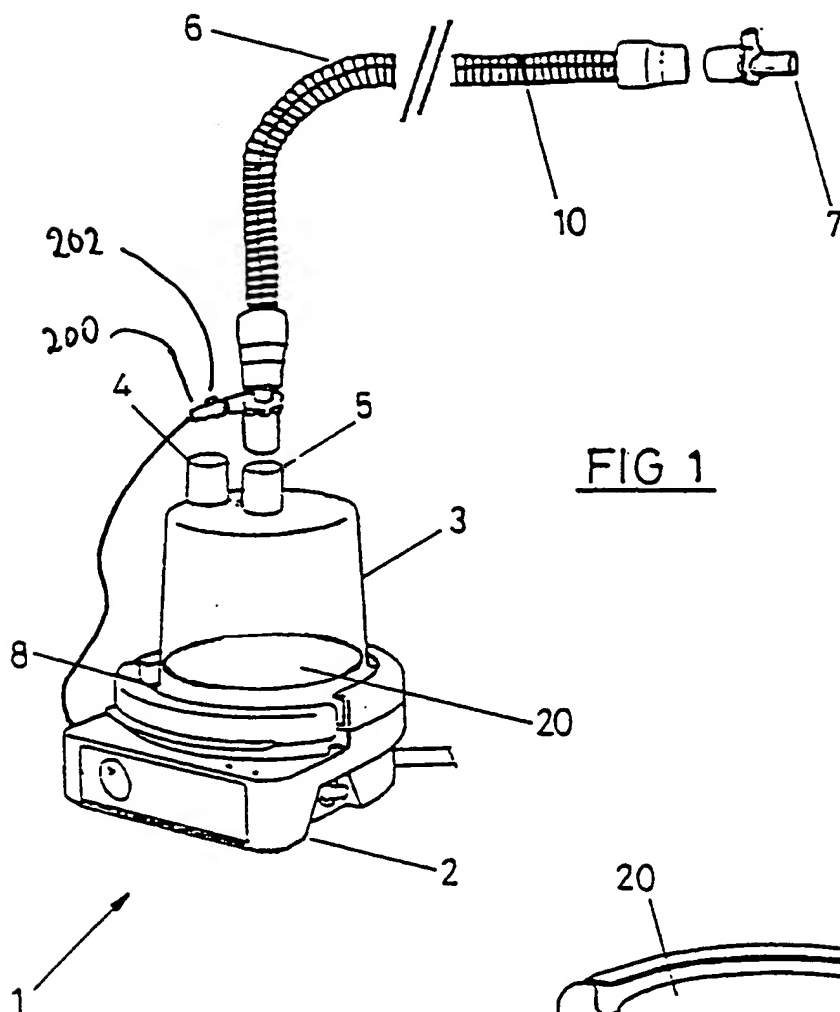
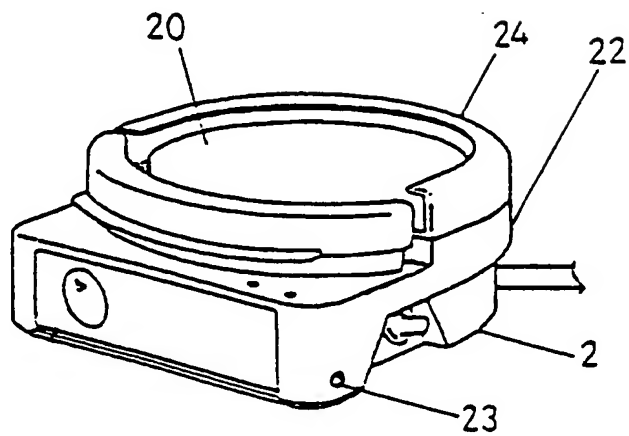
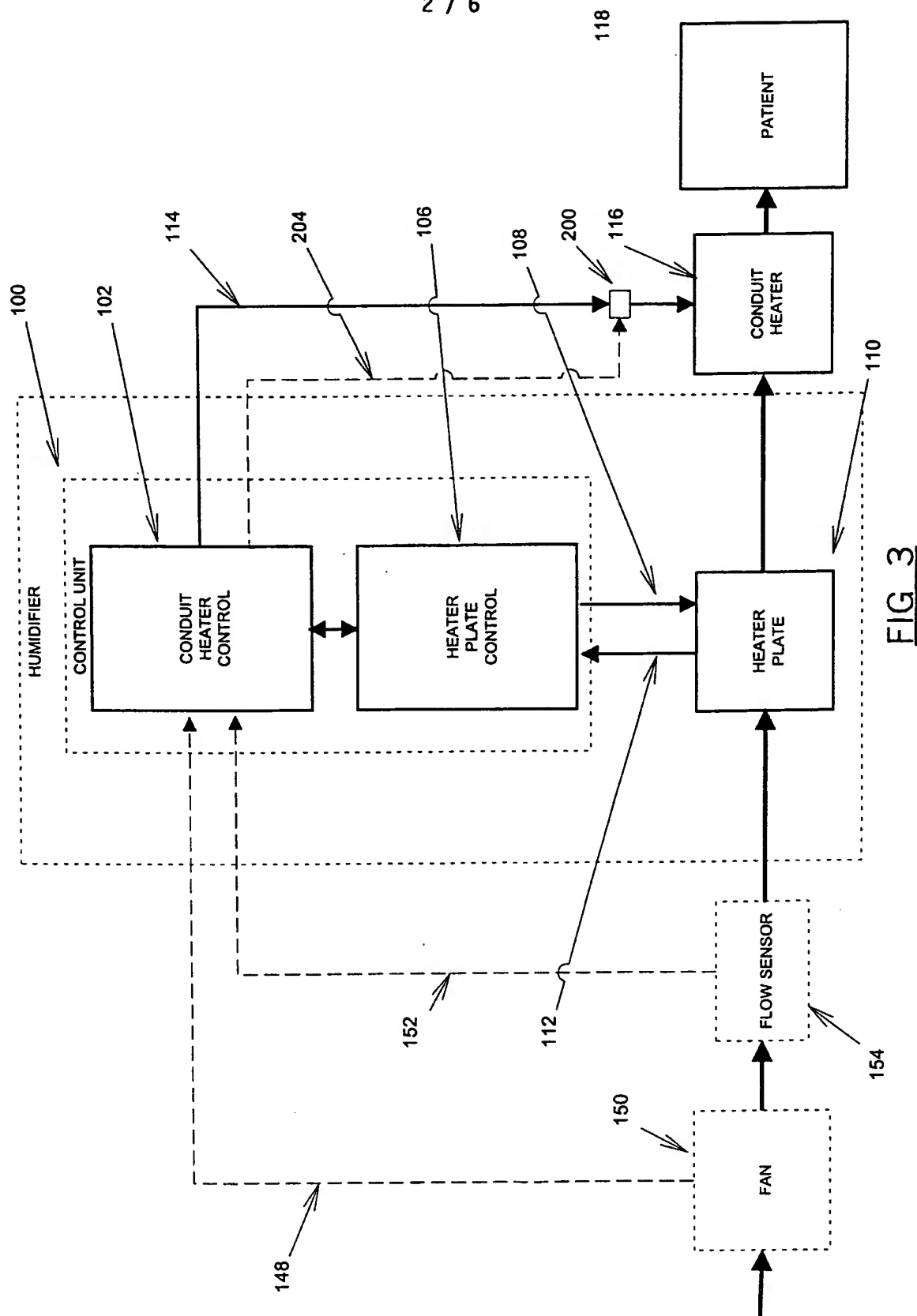
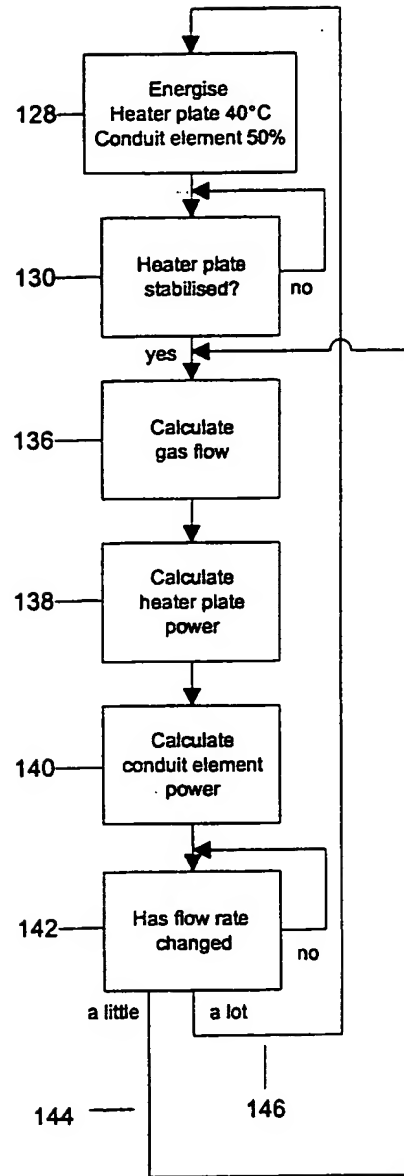


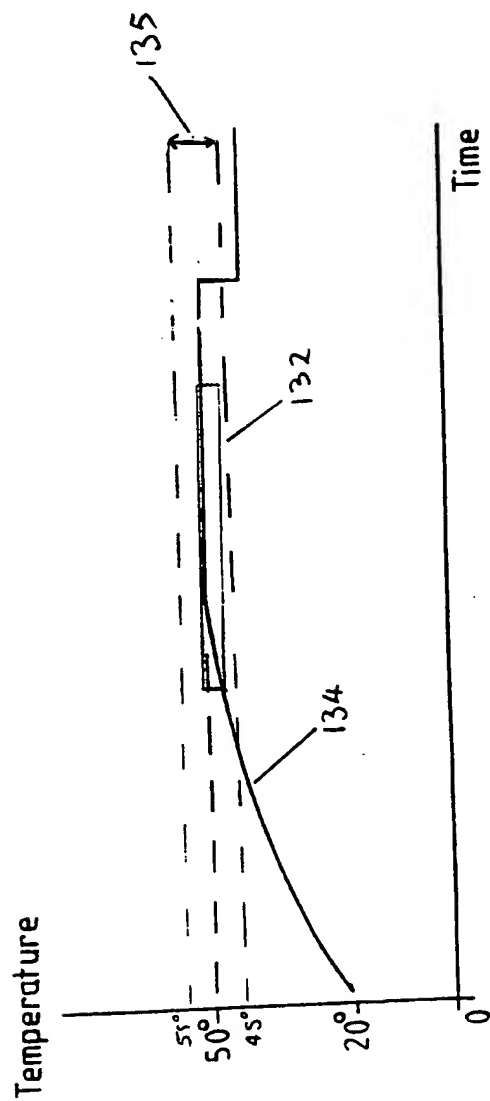
FIG 2





3 / 6

FIG 4

FIG. 5

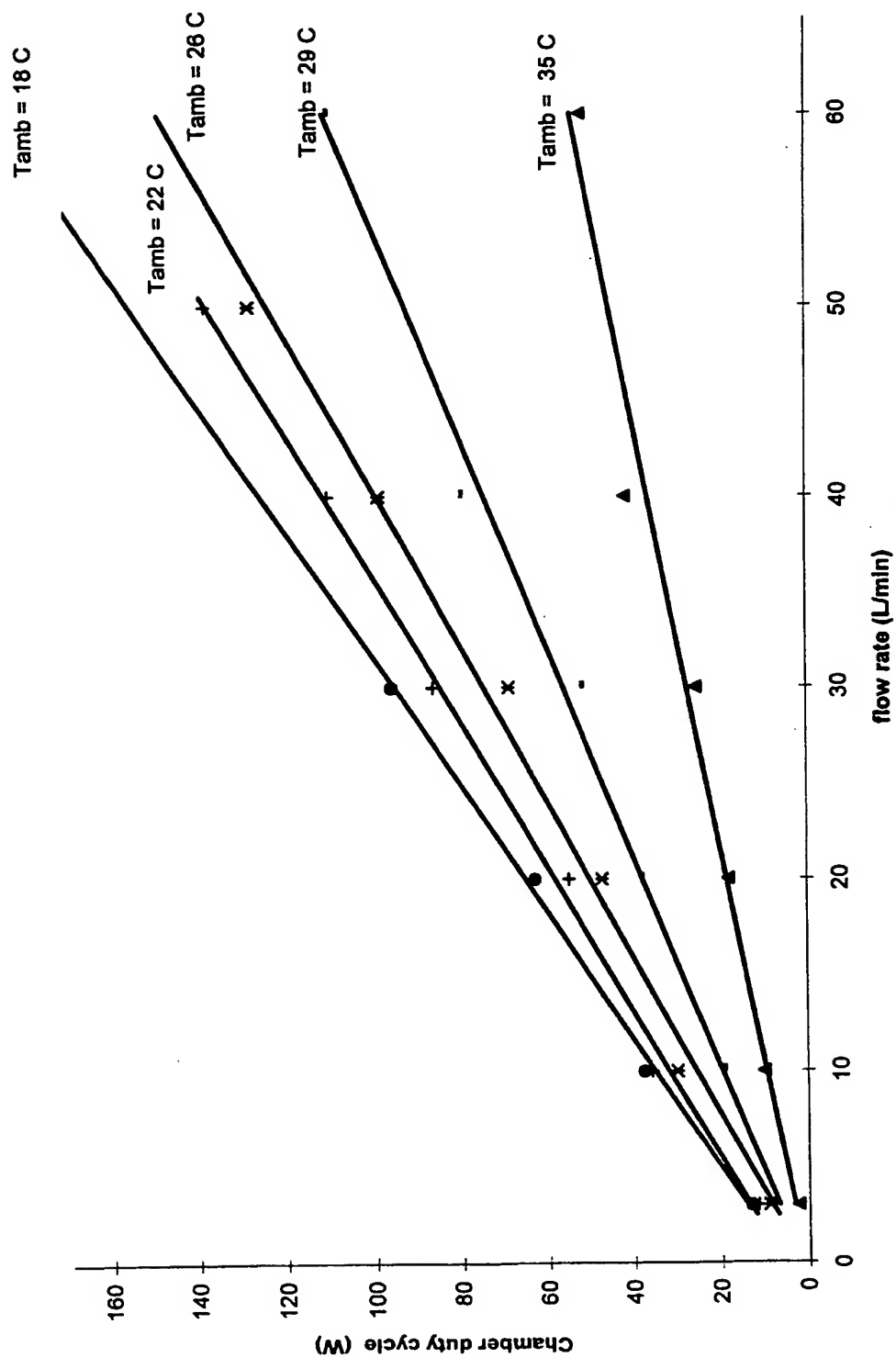


FIG. 6

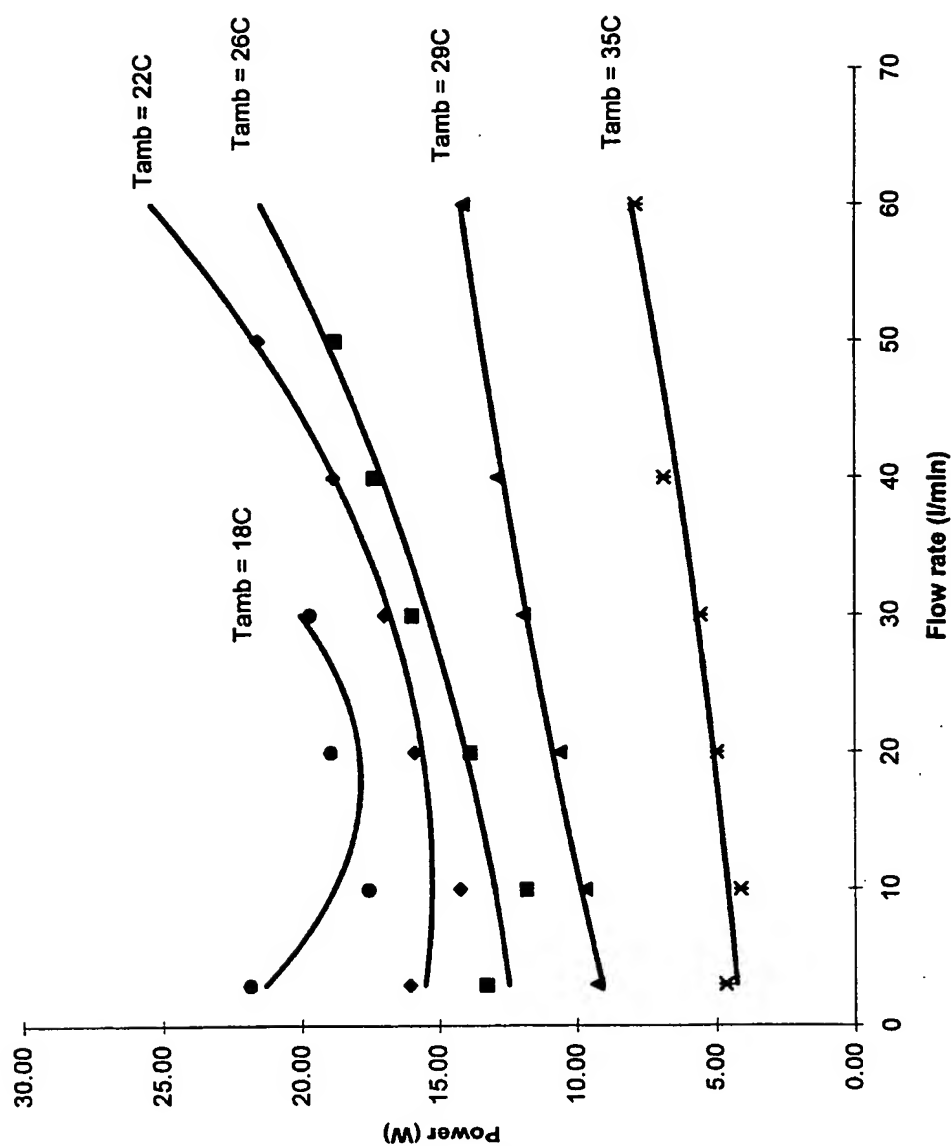


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.
PCT/NZ00/00156

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. ⁷: A61M 16/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
A61M A62B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
AU IPC: A61M 16/16

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
DWPI JAPIO: humid water moisture breath respire flow heat

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 2192136 A (VIROTHERM LABORATORIES LTD.) 6 January 1988 Entire Document	1-15
X	AU 14863/95 A (FISHER & PAYKEL LIMITED) 21 September 1995 Entire document	1-15
X	EP 885623 A2 (FISHER & PAYKEL LIMITED) 23 December 1998 Entire document	1-15

☒ Further documents are listed in the continuation of Box C ☒ See patent family annex

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance
 "E" earlier application or patent but published on or after the international filing date
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
 "O" document referring to an oral disclosure, use, exhibition or other means
 "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
 "&" document member of the same patent family

Date of the actual completion of the international search
27 October 2000


Date of mailing of the international search report

- 7 NOV 2000

Name and mailing address of the ISA/AU

AUSTRALIAN PATENT OFFICE
PO BOX 200, WODEN ACT 2606, AUSTRALIA
E-mail address: pct@ipaustalia.gov.au
Facsimile No. (02) 6285 3929

Authorized officer


MATTHEW FORWARD
Telephone No: (02) 6283 2606

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ00/00156

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	GB 2338420 A (FISHER & PAYKEL LIMITED) 22 December 1999 Entire document	1-15
A	US 5558084 A (DANIELL et al) 24 September 1996	1-16
A	GB 1294808 A (PHILIPS ELECTRONIC AND ASSOCIATED INDUSTRIES LIMITED) 1 November 1972 Page 2 line 116 to page 3 line 7, figure 1	16
A	US 5031612 A (CLEMENTI) 16 July 1991	16
A	JP 5-317428 A (OOTSUKA et al) 3 December 1993 Abstract and figures	16

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ00/00156

Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos : 17
because they relate to subject matter not required to be searched by this Authority, namely:
This claims relies upon the description and drawings to define the invention and is not drafted in accordance with Rule 6.2(a) of the PCT
2. ☐ Claims Nos :
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos :
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Claims 1 to 15 are characterised by control of heat supplied to a gas humidifier using a parameter related to gas flow and a set of stored instructions including a desired gas humidity and temperature. A breathing apparatus with such a humidity control constitutes a first special technical feature. Claim 16 is directed to correct connection of a pathway heating means to a control, which supplies power to both the pathway heater and a humidification means. Correct connection of a pathway heater constitutes the second special technical feature.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/NZ00/00156

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
GB	2192136	AU	76474/87	DK	1149/88	EP	274493
		NO	880944	WO	88/00068	ZA	8704799
AU	14863/95	DE	19508803	FR	2717395	JP	8038603
EP	885623	AU	71950/98	CA	2240812	CN	1210020
		JP	11057009				
GB	2338420	AU	35087/99	DE	19928003	FR	2779965
		IT	990527	JP	200024109		
US	5558084	AU	26120/92	EP	535952	JP	5224760
GB	1294808	DE	2240659	FR	2149581	JP	48029298
		NL	7211140				
END OF ANNEX							